

**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/084,692	09/27/99	BASS	8 RAL999-0080

IBM CORPORATION 972/8656
INTELLECTUAL PROPERTY LAW
P O BOX 12195
RES TRI PARK NC 27709

9232/0915

NOT ASSIGNED

2731

DATE MAILED:

09/15/99

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this **NOTICE** to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ **small entity (statement filed)** ☒ **non-small entity is \$** 130

☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$_____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$_____ for _____ total claims over 20.

\$_____ for _____ independent claims over 3.

\$_____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.292(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

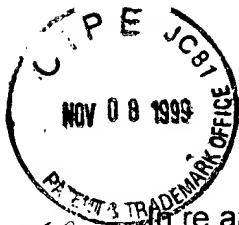
A copy of this notice MUST be returned with the reply.

E. Dunn

Customer Service Center
Initial Patent Examination Division (703) 308-1202

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00000116 090464
11/23/1999 HKM6RA 01FC:105

130.00 CH



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Handwritten signature/initials

In re application of
Bass et al

Serial No. 09/384,692

Filed: August 27, 1999

For: Network Switch and Components and Method of Operation

: November 4, 1999
: IBM Corporation - 972/B656
: P.O. Box 12195
: Research Triangle Park,
: North Carolina 27709
: Unit: 2731

**NOTICE TO FILE MISSING PARTS OF APPLICATION
FILING DATE GRANTED**

Assistant Commissioner for Patents
Washington DC 20231

Sir:

In response to the Notice to File Missing Parts of Application dated September 15, 1999, please find enclosed a signed Declaration and Power of Attorney for the above stated Patent Application. Also enclosed is a fully executed Assignment and an Assignment Recordation form, PTO 1595.

Please consider this letter your authorization to charge the \$130 surcharge to deposit account #09-0464. A copy of this letter is enclosed.

Respectfully submitted,

Joscelyn G. Cockburn

Joscelyn G. Cockburn
Reg. No. 27,069

JGC:nmm
Tele: 919-543-9036
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Docket: RAL999-0080

I hereby certify that this correspondence and attached documents (i.e., Declaration and Power of Attorney, Assignment and Recordation Form PTO 1595, Missing Parts Notice) are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231.

Nydia M. Mulligan
Nydia M. Mulligan

11/5/99
Date of Deposit